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ELI LILLY AND COMPANY

By Linda M. Dunbar

Date November 3, 2004

**PATENT APPLICATION**  
**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants	:	Alfio Borghese	)	
			)	
Serial No.	:	10/500,829	)	
			)	
Filed	:	July 7, 2004	)	Group Art Unit:
			)	1652
For	:	Process for Preparing an Intermediate Useful for the	)	Examiner:
		Asymmetric Synthesis of Duloxetine	)	
			)	
Docket No.	:	X-15457	)	

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

As a means of complying with the duty of disclosure, Applicants submit an "Information Disclosure Citation In An Application" on a Form PTO-1449 (modified) and provides a copy of each of the listed documents for consideration by the Examiner.

Since this Statement is being filed in accordance with 37 C.F.R. 1.97(b), Applicants submit that no additional fee is required.

Applicants request consideration of this information.

Respectfully submitted,

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11/2/04



FORM PTO 1449 (modified)  INFORMATION DISCLOSURE CITATION IN AN APPLICATION	Atty. Docket No. <b>X-15457</b>	Serial No. <b>10/500,829</b>
	Applicants <b>Borghese</b>	
	Filing Date <b>07/07/2004</b>	Group <b>1652</b>

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Pages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AE	US 5,491,243	02-13-1996	Berglund	
	AF	US 4,956,388	09-11-1990	Robertson, et al.	
	AG	US			

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number 4-Kind Code <sup>5</sup> (if known)				
	BA					
	BB					
	BB					

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published.	T <sup>6</sup>
	CA	Richard A. Berglund; Impact of Potassium Salts on Aromatic Substitution Reactions <sup>1</sup> ; <i>Organic Process Research &amp; Development</i> 1997, Vol. 1, No. 4, pp. 328-330	
	CB	Jack Deter, et al.; Asymmetric Synthesis and Absolute Stereochemistry of LY248686; <i>Tetrahedron Letters</i> , 1990, Vol. 31, No. 49, pp. 7101-7104	
	CC	William J. Wheeler & Fengiiun Kuo; An Asymmetric Synthesis of Duloxetine Hydrochloride, A Mixed Uptake Inhibitor of Serotonin and Norepinephrine, and Its C-14 Labeled Isotopomers <sup>1</sup> ; <i>Journal of Labeled Compounds and Radiopharmaceuticals</i> ; Vol. XXXVI, No. 3	
	CJ		
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached. Burden Hours Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case.

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